



EUROPEAN MEDICINES AGENCY  
SCIENCE MEDICINES HEALTH

# Opportunities for the use of regulatory data

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# Acknowledgements

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(BfArM and EMA Biostatistics Working Party)



# Outline

- Key regulatory questions
- Challenges in CNS drug development
- Clinical trial effect
- Opportunities with analysis of individual patient data



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# Key regulatory questions

- What is of primary interest to regulators?
  - **Safe and effective** medicinal products available to patients
  - **Protecting patients from harm**
- Thus facilitate efficient and robust drug development
  - More **efficient studies** allowing for **valid and robust conclusions** (e.g. design issues)
  - Increase understanding of data from clinical trials (regulatory assessment/review)



# Recent positive developments in CNS

- Existing medicines represent a significant progress from a historical perspective
- Several EMA approvals in 2017 (including for rare diseases and/or conditions with high unmet medical need)
- **But**
- Still unmet need with high economic and societal impact
- Phase III attrition rate higher in CNS than in other areas
- Need to develop drugs for early stages of disease / partial responders / benign safety profile



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# Challenges in CNS drug development

<https://www.nature.com/articles/nrd.2016.237.pdf>

Butlen-Ducuing, Florence, et al. "Regulatory watch: challenges in drug development for central nervous system disorders: a European Medicines Agency perspective." (2016): 813.

- Butlen-Ducuing et al, 2016: Challenges in drug development for central nervous system disorders: a European Medicines Agency perspective
- Study conducted on evaluation files to understand the **main determinants of the outcome of a regulatory application**
  - The evaluation of a dossier in Europe foresees that all issues identified as raised as Objections to Applicant.
  - **Major Objections** are assumed to reflect the critical points of a medicinal product development.



# Data

- All applications for **Initial Marketing Authorisation or Extension of Indication** for Neurological and Psychiatric Conditions
- *Ab urbe condita* up to December 2014

By Indication	By procedure	By Outcome
46 Psychiatry	70 Initials	74 Positive
57 Neurology	33 Extensions	29 Negative

- Submitted dossier not a random sample of drug development programmes (EMA submissions, not at national level)



# Outcome variable for the analysis

- Outcome of an application → three levels
- **1) Approval** of the **full indication** as applied for by the applicant
- **2) Approval** with **restricted indication** as compared to the one it was applied for
  - e.g. application for two conditions but only one approved
  - or restricted to a subset of the targeted population
- **3) Refusal**



# Main exposure variable for the analysis

- Content analysis to classify objections in **18 categories**
- Across **5 domains**: early phase, planning of confirmatory studies, efficacy, safety, clinical relevance

## Examples

Variable name	Description	Raised (Y/N)
Insufficient justification of the dose	Dose finding for confirmatory studies missing or not properly performed	
Choice of comparator	Objections concerning the choice, the dose or the lack of comparator	



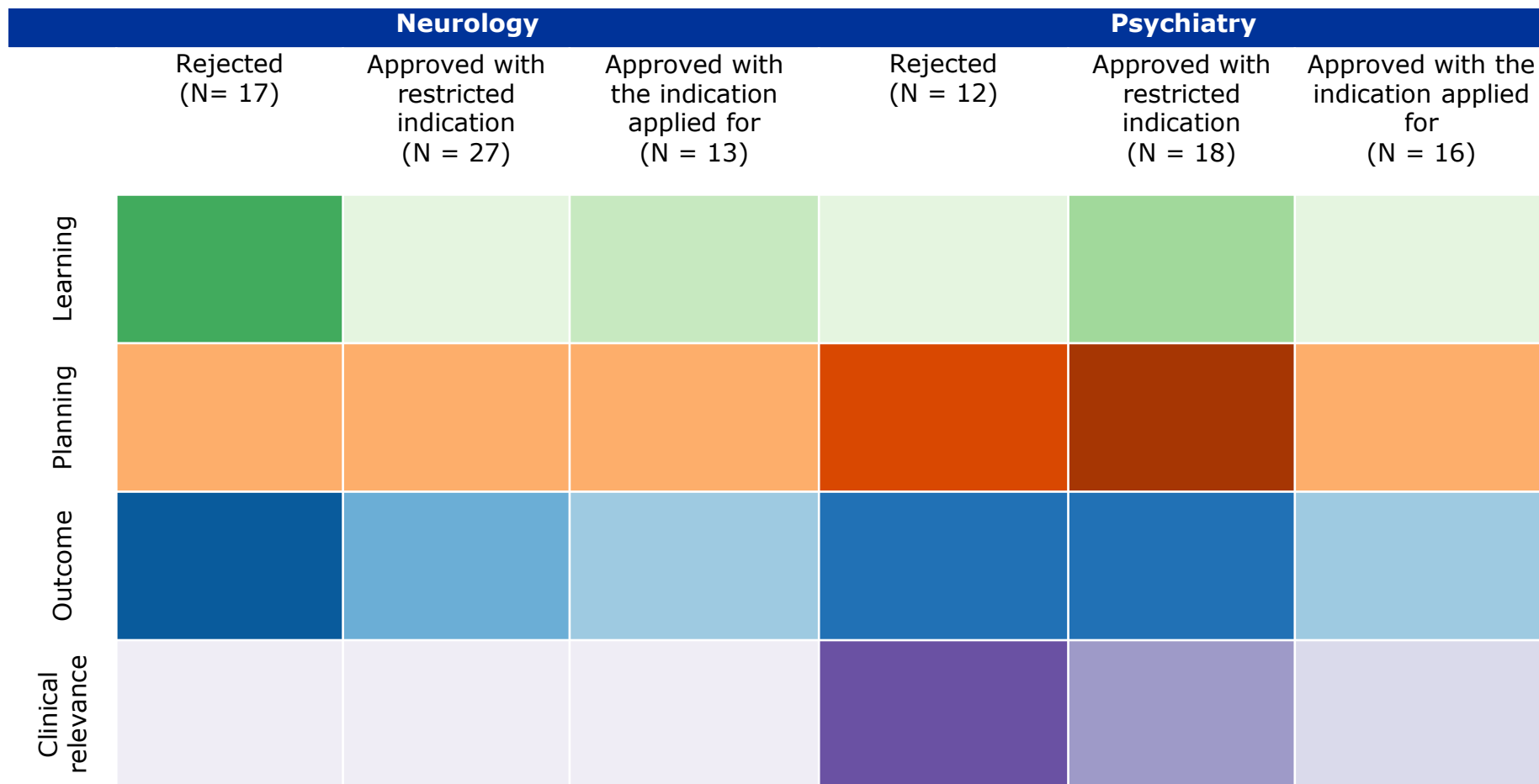
## Method of the main analysis

- Main model: **Random Forest** (Breiman, 2002)
  - “Variable importance” of the categories of Objections as predictors of outcome as indicator of the “importance” of each pitfall identified in the clinical developments.
  - Average and variability from 1000 “random forests”.
  - Model chosen due to no overfitting despite many predictors and limited dataset.
- We also did **linear modelling** to confirm the analysis results.



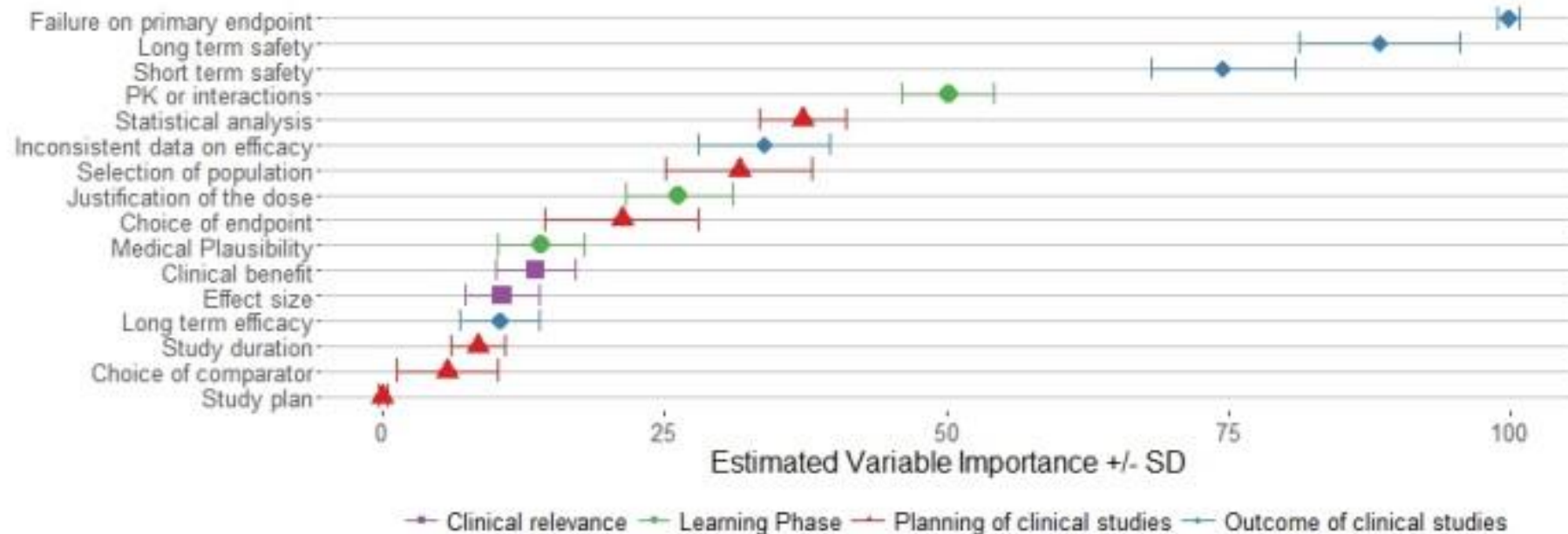
# Results: % of objections by domain

Percentage of MOs by TA, domain and outcome shown as proportional to the colour darkness.





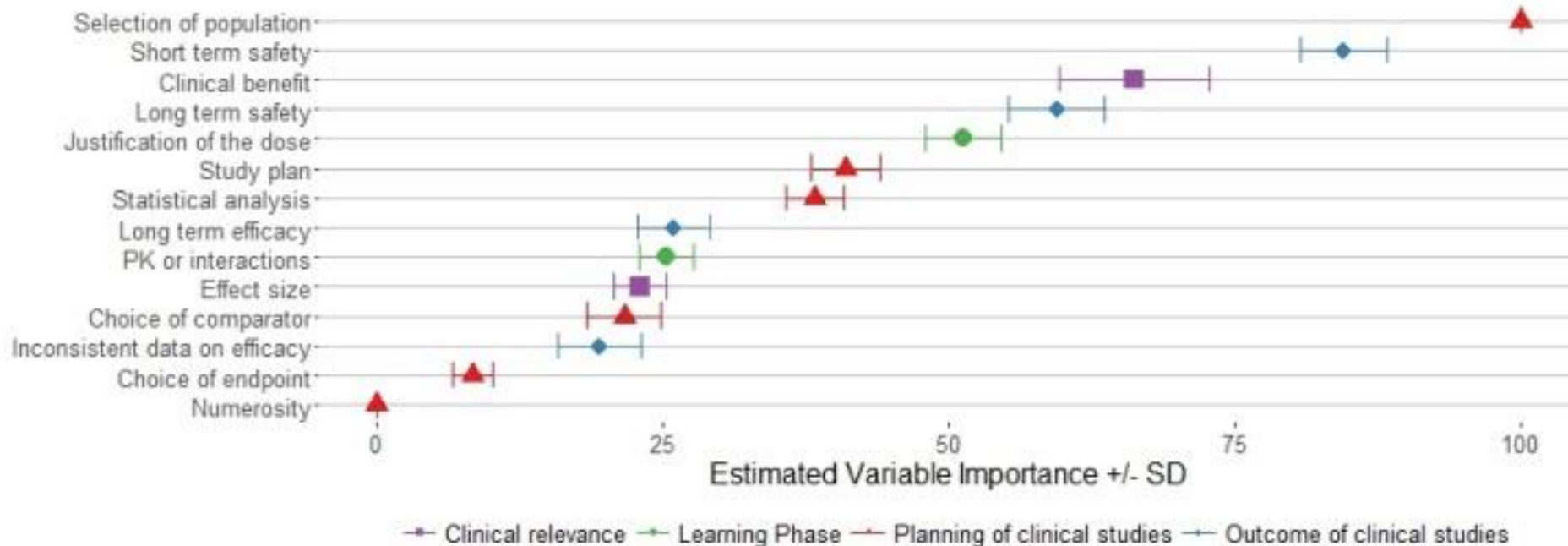
## Results – Variable importance in Neurology



- Issues with strongest impact on the outcome of applications in neurology: **failure to reach the primary end point, safety** and lack of properly conducted specific **PK/PD studies**.



# Results - Variable importance in **Psychiatry**



- Issues with strongest impact on the outcome of applications in neurology: **selection of the population, safety, clinical benefit** and lack of proper **justification of the dose**.





## Main findings on challenges for CNS drug dev.

- The evaluation of dossiers in **Psychiatry** often detects issues in **planning of confirmatory studies** and **clinical relevance** of results.
- On the other hand, **Neurology** evaluations focus on **outcome of confirmatory studies**.
- For **both** Neurology and Psychiatry, the outcome of applications does not only depend on safety and efficacy results but also on elements of the **learning phase** (e.g. PK and dose finding).



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# Placebo effect in depression and schizophrenia

- High number of **failed clinical developments** over past 20 years in major depressive disorder and schizophrenia
- **Known factors:** lack of knowledge of the pathophysiology, lack of biomarkers, lack of well-validated preclinical models, and...
- **Response to placebo**
  - In the context of a randomised parallel placebo-controlled trial
  - What are the determinants of change in the placebo arm?
  - Investigational treatment may actually not be efficacious or trial may be inefficient



## Placebo effect or clinical trial effect?

- **Positive expectancy** with regard to the non-specific effects of a treatment attributable to factors other than specific active components.
  - e.g., health care setting, medical rituals, and engagement with health care professionals
  - confirmed in a number of meta-analyses in psychiatry
- Effect occurs among **all patients** - randomly assigned to receive placebo and active treatment
- Magnitude of placebo effect so large that **trial fails to distinguish effect** of active treatment vs. placebo **OR effect is absent**

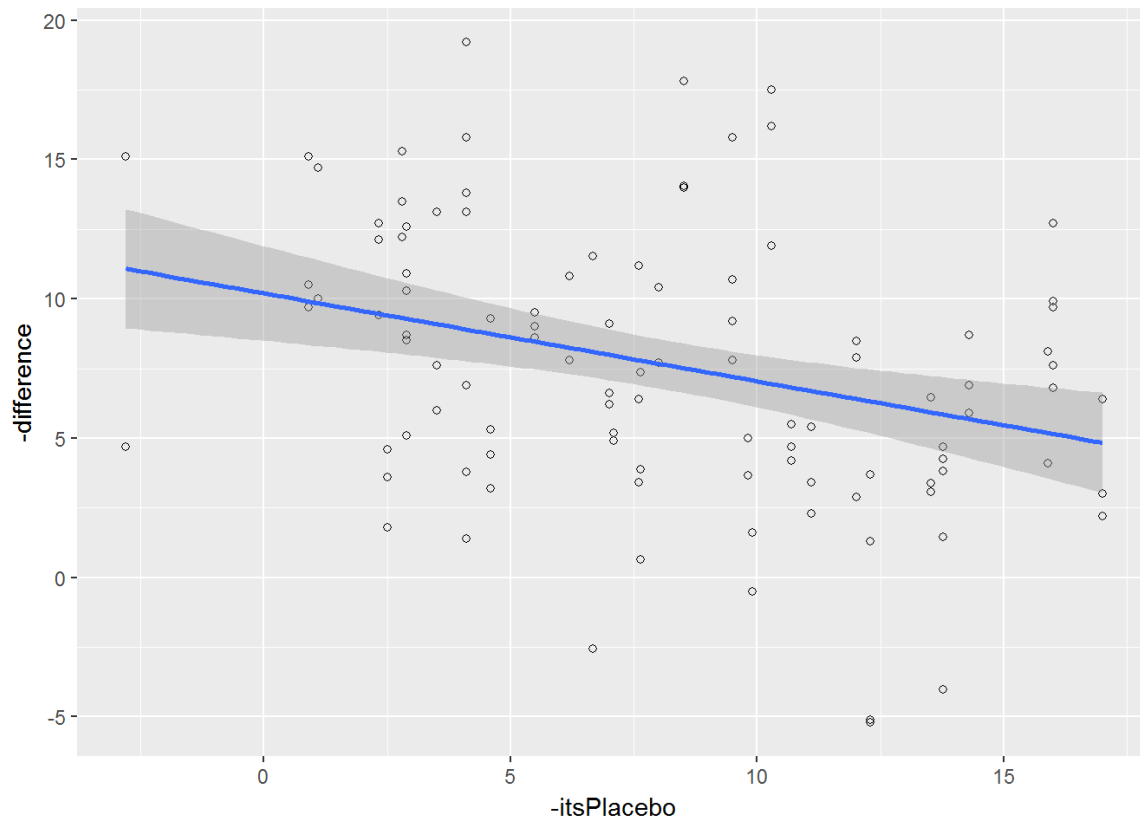


## Data and outcome measure

- Parallel, **short-term**, randomised double-blind placebo-controlled clinical trials submitted to EMA for regulatory approval; major depressive disorder and schizophrenia
- Baseline and final values, or change from baseline
  - **HAMD-17** for MDD and **PANSS** for SCZ
- Mean difference:
  - Not a standardised mean difference
  - Not a standardised scale



# An additive effect?



- Difference between treatment and placebo **vs.** change from baseline in the placebo arm
- Decrease in the difference between treatment and placebo:
- Non-fully **additive effect?**
- **Artefact** of the data?
- Is the correlation between these two factors caused by other **confounders?**



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# Access to individual-patient data: an obvious added value?

## Main determinants of the outcome of a regulatory application

- IPD is not relevant to research based on regulatory data

## Clinical trial effect

- In theory, it should help, but...
  - Data management issues (e.g. inconsistent data standards)
  - Meta-analysis based on aggregate data sometimes as useful as IPD meta-analysis
  - Added value is for specific questions only, e.g. missing data, effect driven in subgroups, individual baseline value vs. inclusion criterion.





## IPD – further ideas for methodology research

- **Methodological research**: adequacy of the design, statistical analysis methods...
- **Typical factors to study**: baseline predictors, post-baseline predictors, item change in scales, variability in scales...



## Caveat with regulatory databases

- Submitted dossier not a random sample of drug development programmes; EMA does not have **access to data from failed programmes**.
- EMA piloted an **initiative in Alzheimer's Disease** inviting companies to discuss with the Agency **developments that did not lead to a submission**.
- **Important learnings for the Agency** – highlighting the value of sharing data from negative trials – with the Agencies but even better with the public.



# Thank you for your attention

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## Further information

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