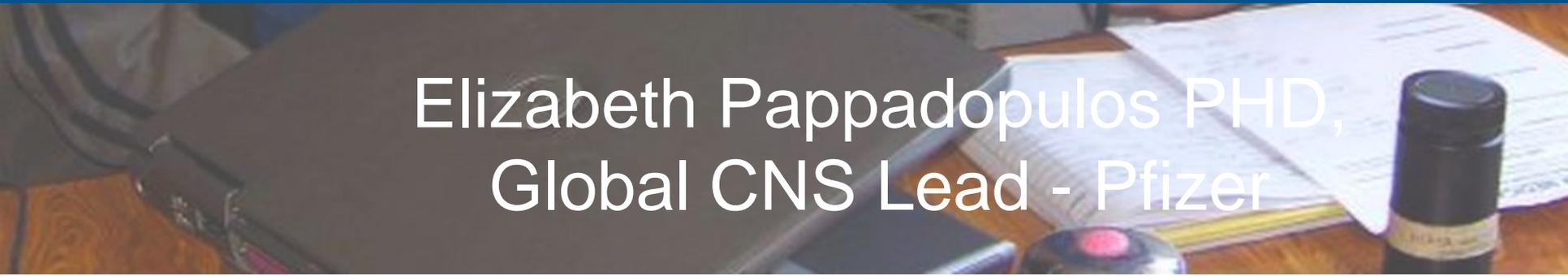




# ISCTM Recommendations on the Translation and Adaptation of Clinician Reported Outcomes in International Clinical Trials

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# Objective

The ISCTM guidelines are intended to provide practical guidance to industry decision-makers weighing measurement and study accuracy against costs and time.

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# Consensus Process

1. Workgroup members were representatives from academic & government research, industry and clinical research organization
  2. Identified study challenges related to ClinROs used in international trials
  3. Informed by existing guidance and literature
  4. Survey of 442-person membership
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# PRO Guidance is Abundant

- FDA PRO guidance document (2009) recommends that, “sponsors provide evidence of content validity and other measurement properties” but does not outline specific methods
  - ISPOR (2009) issued decision aid tools and minimum standards for translating and culturally adapting psychometrically valid PROs
  - World Health Organization (2011)
  - Numerous recommendations by therapeutic area
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# Expert and/or Regulatory Guidance not available for ClinROs

- Registration trials in psychiatry rely heavily of ClinRO for regulatory approval
  - Diagnostic clarity and item sensitivity is critical
  - Most ClinROs developed in Western cultures are used in non-western sites
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# Table1: ISPOR-recommendations for language translation and cultural adaptation of PROs

| Step                      | Stage                                      | Critical Components   |
|---------------------------|--|---|
| Professional Translation  | Preparation                                | <ul style="list-style-type: none"> <li>Obtain copyright permission</li> <li>Concept sheets generated and reviewed by content experts</li> </ul>   |
|                           | Forward and Back Translation               | <ul style="list-style-type: none"> <li>Two successive translators complete forward translation</li> <li>Independent back-translation</li> <li>Quality analyses checks and content expert review for conceptual equivalence</li> <li>Template draft for use in pilot testing</li> <li>Feedback from pilot testing and expert review is incorporated prior to finalization</li> </ul> |
| Cognitive & Expert Review | Conduct Cognitive Debriefing Interviews    | <ul style="list-style-type: none"> <li>Cognitive debriefing interviews conducted with subjects in each country</li> <li>Abbreviated administration of each test in the battery and administration of Subject Questionnaires</li> <li>Raters complete Rater Questionnaires</li> </ul>  |
|                           | In-Country Expert Review                   | <ul style="list-style-type: none"> <li>Independent local review of each assessment and pilot testing documents by three psychologists/neuropsychologists in each country</li> </ul>   |
|                           | Final Review and Harmonization of Feedback | <ul style="list-style-type: none"> <li>All comments and suggestions reviewed and categorized by language and assessment</li> <li>Recommended changes reviewed and approved by Lead Neuropsychologists</li> </ul>  |
| Finalization              | Final Reporting                            | <ul style="list-style-type: none"> <li>Finalize translation and issue certificate</li> <li>Generate final report</li> </ul>   |

# Unique Challenges for CNS ClinRO Validity

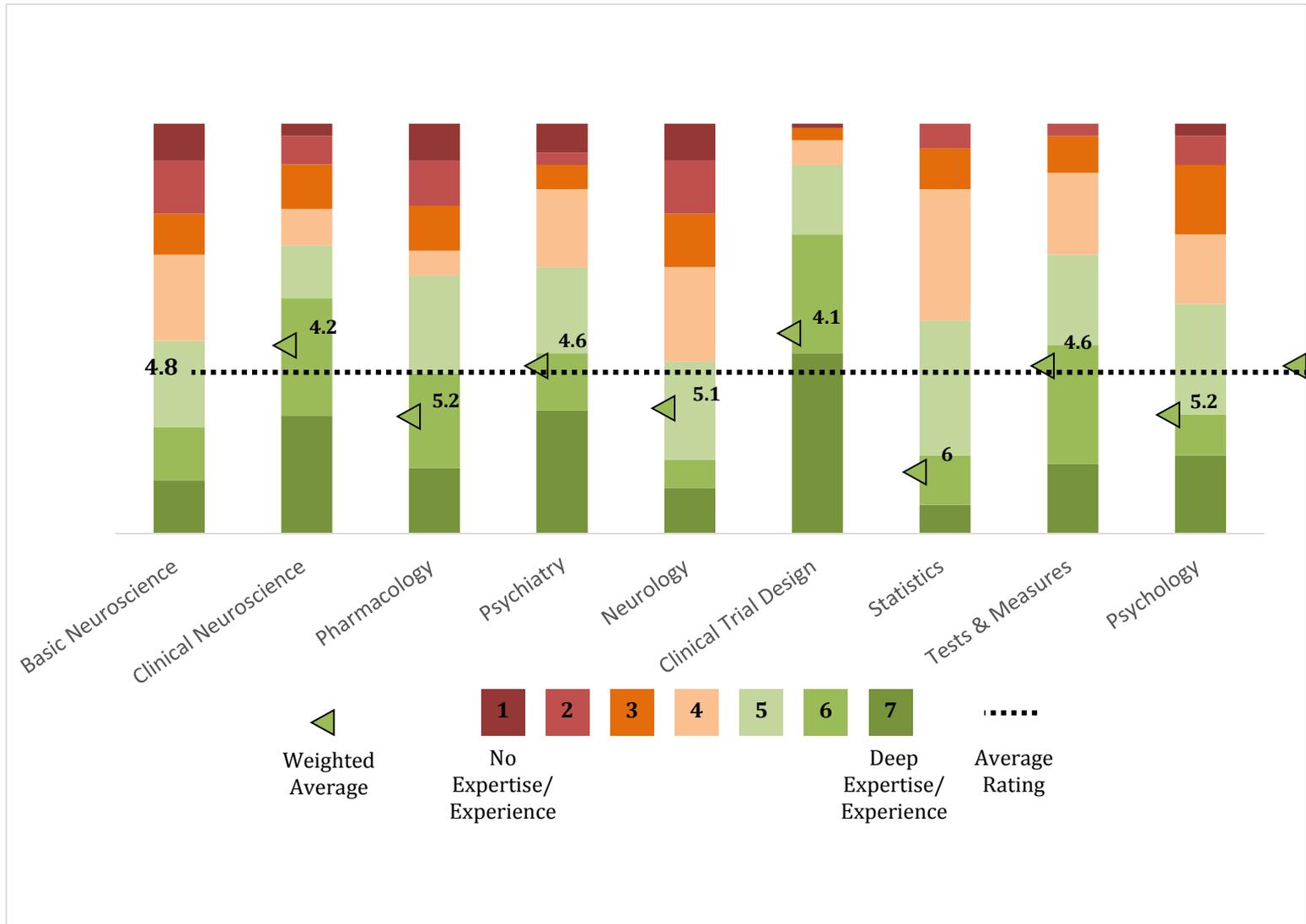
- Rater expertise varies widely – training needed to ensure consistency of neuropathological constructs
  - Patient/clinician communication around ClinRos may vary by gender/race/ethnicity
  - Systematic cultural variation based on norms, taboo or stigma (eg, libido, what is good functioning?)
  - Growing interest and investment in “emic” outcomes – mood, QOL, functioning that are culturally sensitive
  - Unwarranted confidence that ClinROs administered by a language fluent physician are valid
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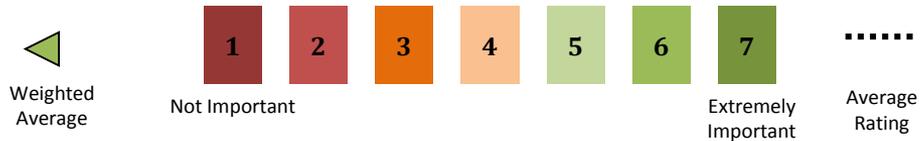
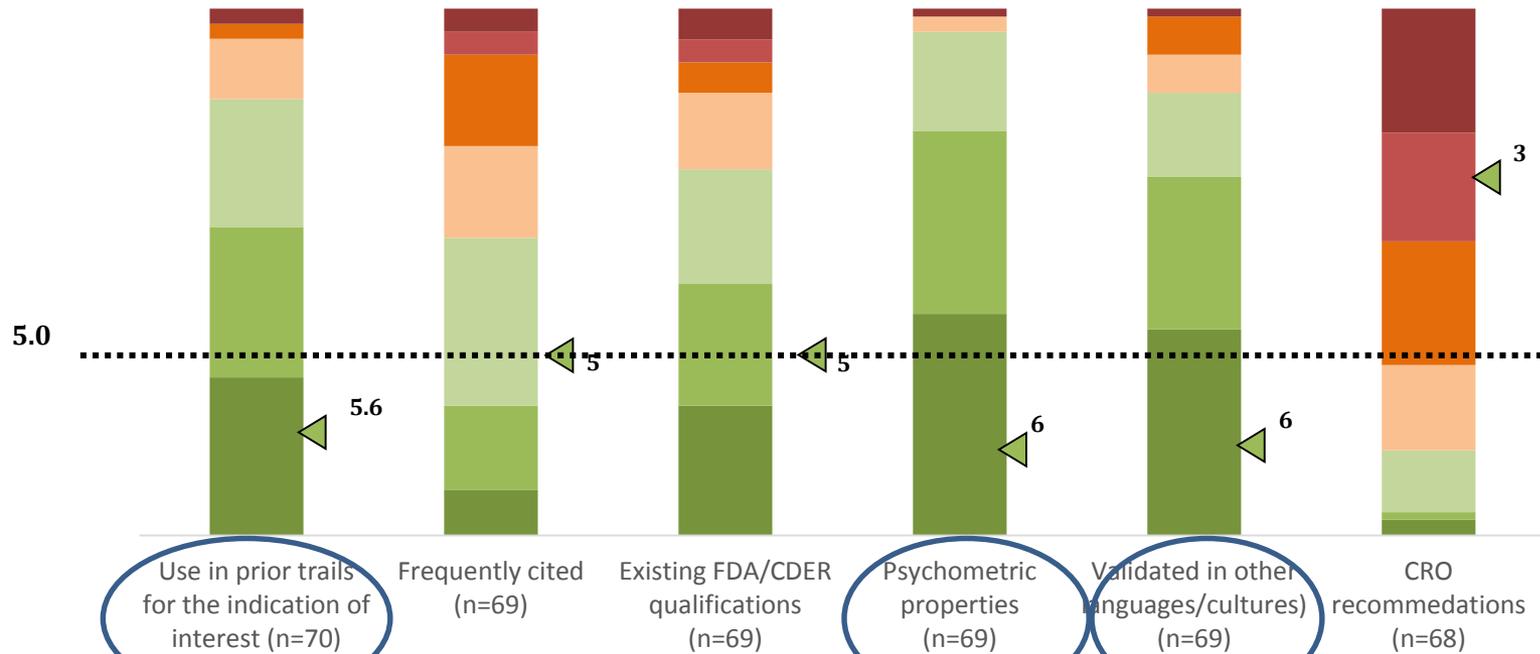
# Survey of Membership

- 14 - item survey of practices and perceptions of international trials
  - 78 responders
  - Sent to 442-person ISCTM membership
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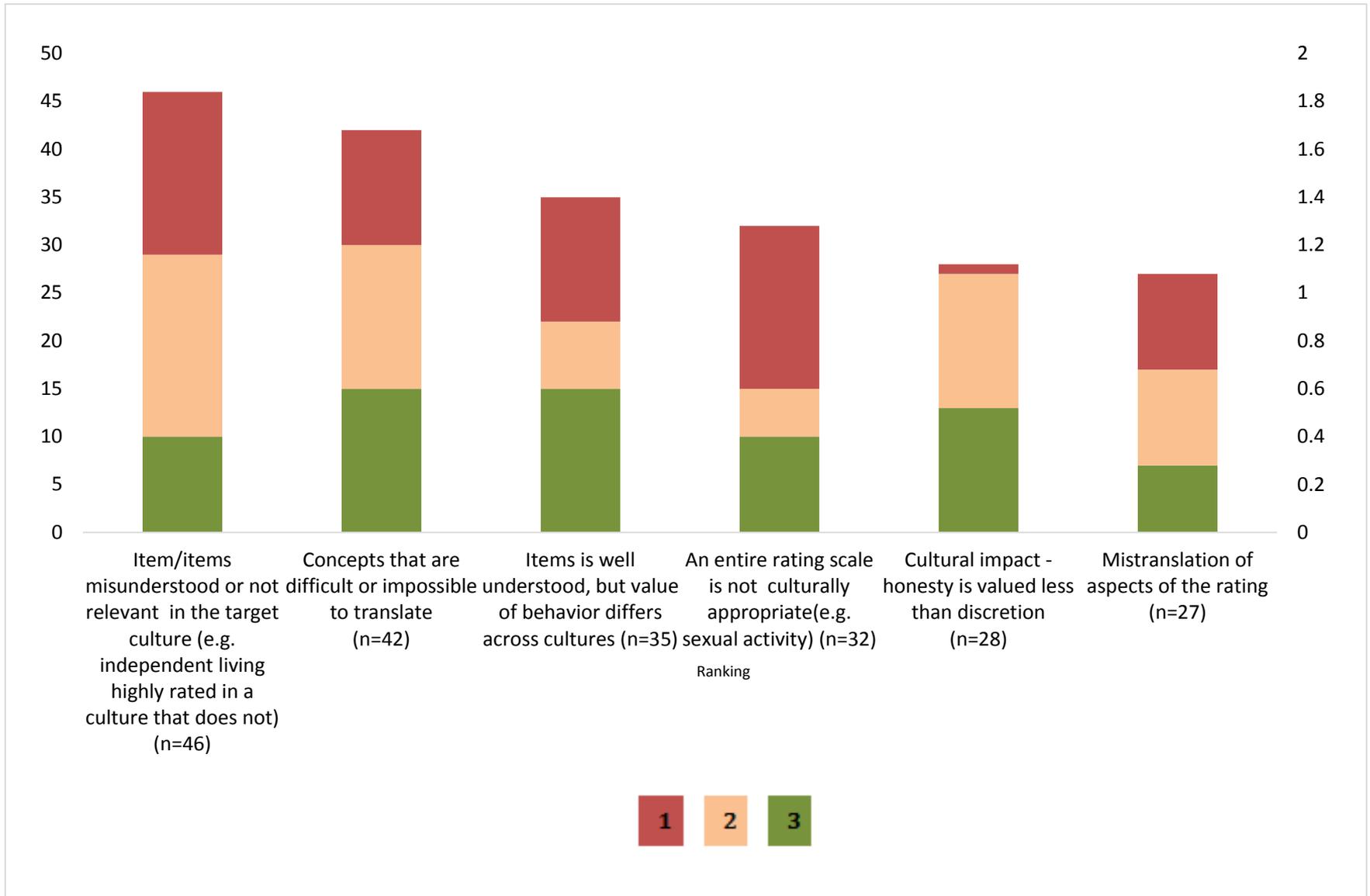
# Experience/expertise in the following areas (1-no experience/ 7-deep expertise):



# Rate the importance of the following factors when selecting a ClinRo measures for CNS clinical trials (1-not important, 7-extremely important):

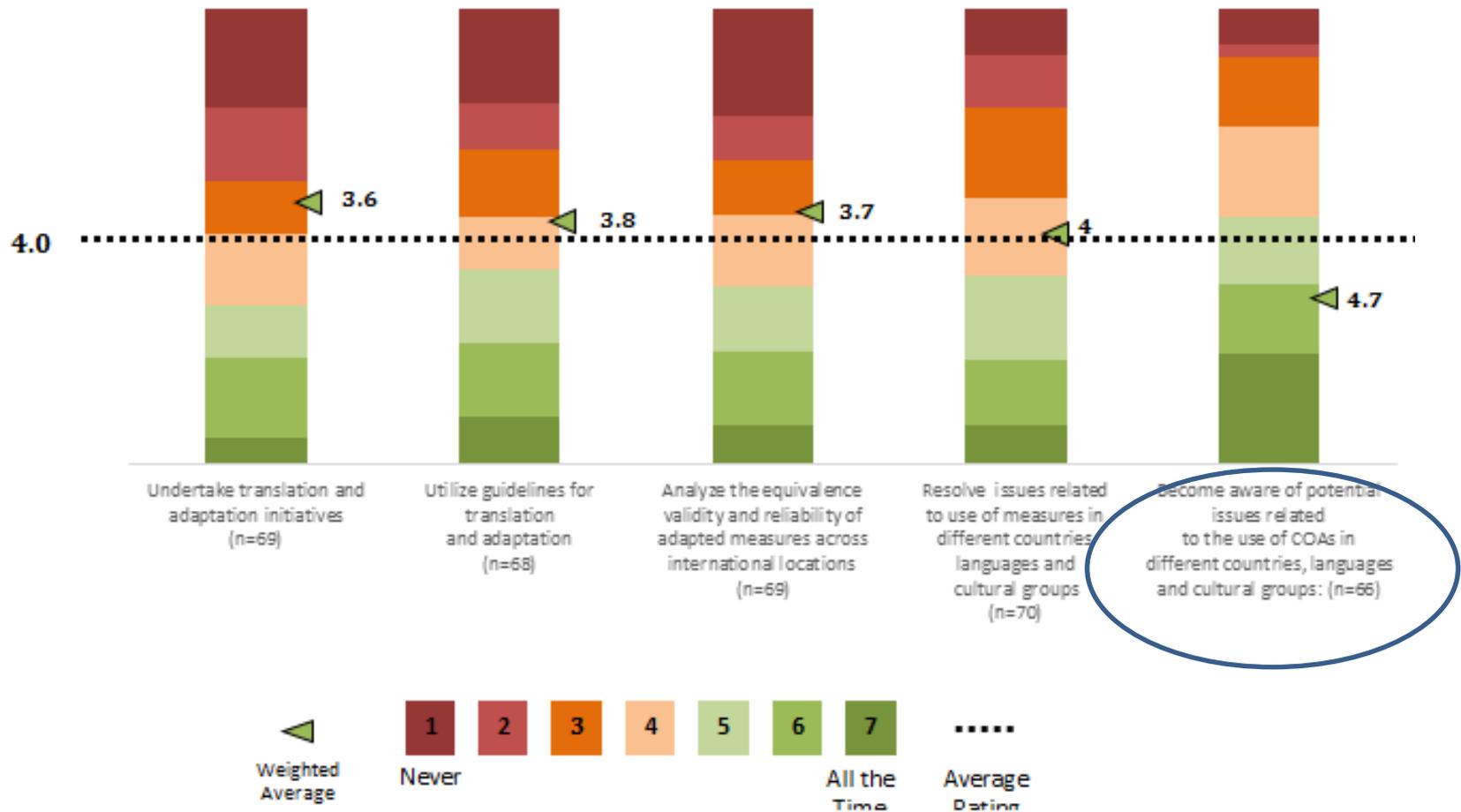


# Top 3 threats to data integrity in international trials



# Frequency of engagement in the following ClinRo activities (1 - never, / 7 - all the time)?

How often do you engage in the following activities in connection with ClinRo measures  
(1 - never, / 7 - all the time)?



# Survey Summary

- Culturally relevant and sensitive ClinRos are a concern to the research community and critical to accurate clinical evaluation
  - Too few investigators prioritize cultural translation and validation studies
  - Reliance on established measures without evidence of cultural validation seems the norm
  - Data integrity becomes a concern only after there are problems
  - Bottom-line / Rigor with ClinROs is lacking despite being critical to a successful trial
  - Addressing these risks prospectively can mitigate threats to study outcomes
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# Recommendations for Sponsors

- Consider cultural adequacy and statistical integrity of ClinRO before initiating the study
  - Invest early in rigorous translation and validation activities with understanding of the potential risks to data integrity given the TA, ClinRo and study objectives
  - Evolve study SOPs that reflect the current state of international trials and will ensure consistency despite ever-changing industry leadership
  - Consult with experts where internal expertise of translation, adaptation and statistical validation is limited
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# Recommendations for the Research Community

- Develop an open repository of translated and validated ClinRos and PROs to encourage the use of the same adaptations across trials
  - Provide incentives and resources for underserved indications that will have high impact on the global healthcare economy – schizophrenia, neurodegeneration, etc.
  - Setting regulatory standards for ClinRo integrity would adjust priorities
  - Publications on measurement are not attractive to journals – but they are critical to advancing the field. Journals could devote a few pages a year to such issues
  - Journals could request that translation and validation methods be outlined in published trial results
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# Challenges to Implementation

- Sponsors fail to prioritize ClinRO cultural adaptation and validation despite spending millions on a development program (small-investment can reduce measurement error)
  - Business leaders must weigh the risks of inadequately validated ClinRos against other business and research needs requiring investment – with eyes half open
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# Conclusions

- More works is needed to educate and guide on the translation and adaptation
  - Shifting sponsor judgement on the importance of investing in cultural adaptation of ClinROs is a major challenge
  - Persuading the research community to share measures with rigorous adaptation and statistical validation is unlikely
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